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Dated: November 16, 2005 Signature: Staci V. Harris

(Staci V. Harris)

Docket No.: 12088/019001  
(PATENT)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shinichi Kawasaki et al.

Application No.: 10/500,317

Group Art Unit: 2812

Filed: June 28, 2004

Examiner: Not Yet Assigned

For: PLASMA FILM FORMING SYSTEM**TRANSMITTAL LETTER**

MS PCT  
Attn: Donna Greene  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Supplemental Request for Corrected Filing Receipt previously filed 1/28/2005  
(2 pages)
2. Copy of Declaration (5 pages)
3. Copy of Filing Receipt (errors indicated) (2 pages)
4. Return Postcard

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in

Application No.: 10/500,317

Docket No.: 12088/019001

this application by this firm) to our Deposit Account No. 50-0591, under Order No. 12088/019001.

Dated: November 16, 2005

Respectfully submitted,

By 

Jonathan P. Osha  
Registration No.: 33,986  
OSHA · LIANG LLP  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Filing Receipt Corrections, Office of Initial Patent Examination, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 28, 2005

Signature: Tawana L. Garcia

(Tawana L. Garcia)

Docket No.: 12088/019001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shinichi Kawasaki et al.

Application No.: 10/500,317

Confirmation No.: 9863

Filed: June 28, 2004

Art Unit: 2812

For: PLASMA FILM FORMING APPARATUS

Examiner: Not Yet Assigned

**SUPPLEMENTAL REQUEST FOR CORRECTED FILING RECEIPT**

Filing Receipt Corrections  
Office of Initial Patent Examination  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in city of the second inventor.

Applicant respectfully requests to correct the second inventor's city information from "Hachiojo-city" to --Hachioji-city--, as shown in the enclosed copy of the signed Declaration and Power of Attorney.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

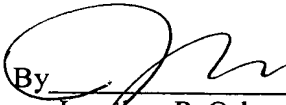
Application No.: 10/500,317

Docket No.: 12088/019001

Applicant believes no fee is due with this request. However, if a fee is due, please charge our Deposit Account No. 50-0591, under Order No. 12088/019001 from which the undersigned is authorized to draw.

Dated: January 28, 2005

Respectfully submitted,

By   
Jonathan P. Osha  
Registration No.: 33,986  
OSHA & MAY L.L.P.  
1221 McKinney St., Suite 2800  
Houston, Texas 77010  
(713) 228-8600  
(713) 228-8778 (Fax)

RECEIVED

OCT 12 2004


 UNITED STATES PATENT AND TRADEMARK OFFICE  
 OSHA & MAY L.L.P.

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/500,317	06/28/2004	2812	2002	12088/019001	38	59	2

 22511  
 OSHA & MAY L.L.P.  
 1221 MCKINNEY STREET  
 HOUSTON, TX 77010

CONFIRMATION NO. 9863

## FILING RECEIPT



\*OC000000014011669\*

Date Mailed: 10/06/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Shinichi Kawasaki, Hachioji-city, JAPAN;  
 Sumio Nakatake, Hachiojo-city, JAPAN;  
 Hiroya Kitahata, Hachioji-city, JAPAN;  
 Setsuo Nakajima, Hachioji-city, JAPAN;  
 Yuji Eguchi, Hachioji-city, JAPAN;  
 Junichiro Anzai, Hachioji-city, JAPAN;  
 Yoshinori Nakano, Hachioji-city, JAPAN;

Hachioji, Japan

No Docketing Required	
Date:	10-12-04
Initials:	BKS

## Power of Attorney:

Jonathan Osha-33986

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/12821 10/07/2003

## Foreign Applications

 JAPAN 2002-294140 10/07/2002  
 JAPAN 2002-294126 10/07/2002  
 JAPAN 2002-294125 10/07/2002  
 JAPAN 2002-294141 10/07/2002  
 JAPAN 2002-377333 10/07/2002

Projected Publication Date: 01/13/2005

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Plasma film forming system

**Preliminary Class**

438

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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## Declaration and Power of Attorney For Patent Application

### 特許出願宣言書及び委任状

### Japanese Language Declaration

#### 日本語宣言書

PTO Customer No.  
22511

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

PLASMA FILM FORMING APPARATUS

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約国際出願番号を \_\_\_\_\_ とし、  
(該当する場合) \_\_\_\_\_ に訂正されました。

☒ was filed on October 07, 2003  
as United States Application Number or  
PCT International Application Number  
PCT/JP03/12821 and was amended on  
\_\_\_\_\_ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

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## Japanese Language Declaration (日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国外の国の少なくとも一カ国を指定している特許協力条約365(a)項に基づき国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

### Prior Foreign Application(s)

外国での先行出願

2002-294140

(Number)

(番号)

2002-294126

(Number)

(番号)

2002-294125

(Number)

(番号)

2002-294141

(Number)

(番号)

2002-377333

(Number)

(番号)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

Japan

(Country)

(国名)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

優先権主張なし

07/10/02

(Day/Month/Year Filed)

(出願年月日)

07/10/02

(Day/Month/Year Filed)

(出願年月日)

07/10/02

(Day/Month/Year Filed)

(出願年月日)

07/10/02

(Day/Month/Year Filed)

(出願年月日)

26/12/02

(Day/Month/Year Filed)

(出願年月日)

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づき権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1条56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

(Application No.)

(出願番号)

(Filing Date)

(出願日)

(Application No.)

(出願番号)

(Filing Date)

(出願日)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じていることに基づき表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の表明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)

(出願番号)

(Filing Date)

(出願日)

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

(Status: Patented, Pending, Abandoned)

(現況: 特許許可済、係属中、放棄済)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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**Japanese Language Declaration**  
(日本語宣言書)

委任状: 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁理士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

PTO Customer No. 22511

Send Correspondence to:

書類送付先

Jonathan P. Osha  
OSHA NOVAK & MAY L.L.P.  
1221 McKinney St., Suite 2800  
Houston, TX 77010

直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

Jonathan P. Osha  
713-228-8600

唯一または第一発明者名	Full name of sole or first inventor <b>Shinichi KAWASAKI</b>		
発明者の署名	日付	Inventor's signature <i>Shinichi Kawasaki</i>	Date <b>June 2, 2004</b>
住所	Residence <b>Hachioji-city, Tokyo, Japan</b>		
国籍	Citizenship <b>Japan</b>		
私書箱	Post Office Address <b>c/o SEKISUI CHEMICAL CO., LTD., of 593-8, Kitano-cho, Hachioji -city, Tokyo 192-0906 Japan</b>		
第二共同発明者	Full name of second joint inventor, if any <b>Sumio NAKATAKE</b>		
第二共同発明者	日付	Second inventor's signature <i>Sumio Nakatake</i>	Date <b>June 2, 2004</b>
住所	Residence <b>Hachioji-city, Tokyo, Japan</b>		
国籍	Citizenship <b>Japan</b>		
私書箱	Post Office Address <b>c/o SEKISUI CHEMICAL CO., LTD., of 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan</b>		

(第三以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

# Japanese Language Declaration

## Additional Inventor Signature Sheet

Full name of third inventor	
Hiroya KITAHATA	
Third inventor's signature	Date
<i>Hiroya Kitahata</i>	June 2, 2004
Residence	
Hachioji-city, Tokyo, Japan	
Citizenship	
Japan	
Post Office Address	
c/o SEKISUI CHEMICAL CO., LTD., of 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan	

Full name fourth inventor	
Setsuo NAKAJIMA	
Fourth inventor's signature	Date
<i>Setsuo Nakajima</i>	June 2, 2004
Residence	
Hachioji-city, Tokyo, Japan	
Citizenship	
Japan	
Post Office Address	
c/o SEKISUI CHEMICAL CO., LTD., of 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan	

Full name of fifth inventor	
Yuji EGUCHI	
Fifth inventor's signature	Date
<i>Yuji Eguchi</i>	June 2, 2004
Residence	
Hachioji-city, Tokyo, Japan	
Citizenship	
Japan	
Post Office Address	
c/o SEKISUI CHEMICAL CO., LTD., of 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan	

Full name of sixth inventor	
Junichiro ANZAI	
Sixth inventor's signature	Date
<i>Junichiro Anzai</i>	June 2, 2004
Residence	
Hachioji-city, Tokyo, Japan	
Citizenship	
Japan	
Post Office Address	
c/o SEKISUI CHEMICAL CO., LTD. of 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan	

# Japanese Language Declaration

## Additional Inventor Signature Sheet

Full name seventh inventor	
Yoshinori NAKANO	
Seventh inventor's signature	Date
Yoshinori Nakano	June 2, 2004
Residence	
Hachioji-city, Tokyo, Japan	
Citizenship	
Japan	
Post Office Address	
c/o SEKISUI CHEMICAL CO., LTD., OF 593-8, Kitano-cho, Hachioji-city, Tokyo 192-0906 Japan	

Full name eighth inventor	
Eighth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name ninth inventor	
Ninth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of tenth inventor	
Tenth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	